



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,256	10/12/2001	Krishnaswamy Ramkumar	8229-014-27

CONFIRMATION NO. 8851

FORMALITIES LETTER



OC000000007051306

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
1200 Nineteenth Street, N.W.
Washington, DC 20036-2412



Date Mailed: 11/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



FEE TRANSMITTAL

FEE TRANSMITTAL		Docket No.	8229-014-27	
		Serial No.	09/975,256	
		Filing Date	October 12, 2001	
		Inventor(s)	Krishnaswamy RAMKUMAR, et al.	
		Group Art Unit	2812	
TOTAL AMOUNT OF PAYMENT		\$1,440.00	Examiner	

1. <input type="checkbox"/> Applicant claims small entity status. <input checked="" type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.										FEE CALCULATION (continued)								
2. <input checked="" type="checkbox"/> Check enclosed.										3. ADDITIONAL FEES								
										Large Entity		Small Entity		Fee Description				
										Fee Code	Fee (\$)	Fee Code	Fee (\$)			Fee Paid		
FEE CALCULATION										105	130	205	65	Surcharge-late filing fee or oath				
1. BASIC FILING FEE										127	50	227	25	Surcharge-late provisional filing fee or cover sheet				
Large Entity		Small Entity		Fee Description				139	130	139	130	Non-English specification						
Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid	147	2520	147	2520	Ex parte reexam. fee					
101	740	201	370	Utility filing fee					115	110	215	55	1-mo. ext. of time					
106	330	206	165	Design filing fee					116	400	216	200	2-mo. ext. of time					
107	510	207	255	Plant filing fee					117	920	217	460	3-mo. ext. of time					
108	740	208	370	Reissue filing fee					118	1440	218	720	4-mo. ext. of time		\$1,440.00			
114	160	214	80	Provisional filing fee					128	1960	228	980	5-mo. ext. of time					
SUBTOTAL (1)								\$0.00	119	320	219	160	Notice of Appeal					
2. EXTRA CLAIM FEES										120	320	220	160	Appeal Brief				
tot. claims		23	-	23*	=	0	x	\$18	=	0	121	280	221	140	Request for Oral Hearing			
ind. claims		2	-	3*	=	0	x	\$84	=	0	142	1280	242	640	Utility/Reissue Issue Fee			
<input type="checkbox"/>		Multiple Dependent Claims						\$280	=		143	460	243	230	Design Issue Fee			
Large Entity		Small Entity		Fee Description				144	620	244	310	Plant Issue Fee						
Fee Code	Fee (\$)	Fee Code	Fee (\$)					122	130	122	130	Petitions to the Commissioner						
103	18	203	9	Claims in excess of 20				126	180	126	180	IDS Submission						
102	84	202	42	Independent claims in excess of 3				581	40	581	40	Assignment						
104	280	204	140	Multiple dependent claim, if not paid				179	740	279	370	For Filing RCE						
109	84	209	42	*Reissue independent claims over original patent				169	900	169	900	Expedited Design						
110	18	210	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):										
SUBTOTAL (2)								\$0.00										
* or number previously paid, if greater; For Reissues, see above										SUBTOTAL (3)				\$1,440.00				

Name	Steven B. Kelber	Registration No.	30,073		
Signature		Date	MAY 9, 2002	Telephone	202-861-3900
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DOCKET NO.: 8229-014-27

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Re: Serial No.: 09/975,256
Applicant(s): Krishnaswamy RAMKUMAR, et al.
Filing Date: October 12, 2001
For: METHOD FOR GROWING ULTRA THIN NITRIDED OXIDE
Group Art Unit: 2812
Examiner:

SIR:

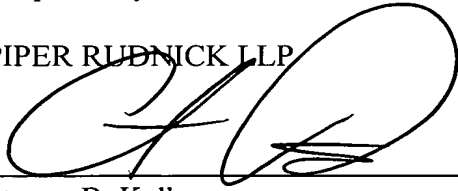
Attached hereto for filing are the following papers:

FEE TRANSMITTAL
REQUEST FOR EXTENSION OF TIME (4 months)
NOTICE TO FILE MISSING PARTS (return copy)
FILING OF DECLARATION UNDER 37 C.F.R. 1.53(f)
DECLARATION (3 pages - executed)

Our check in the amount of \$ 1,440.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP


Steven B. Kelber
Attorney of Record
Registration No.: 30,073

Christopher W. Raimund
Registration No.: 47,258

DOCKET NO. 8229-014-27



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Krishnaswamy RAMKUMAR, et al. ART UNIT: 2812

SERIAL NO.: 09/975,256

EXAMINER:

FILING DATE: October 12, 2001

FOR: METHOD FOR GROWING ULTRA THIN NITRIDED OXIDE

FILING OF DECLARATION UNDER 37 C.F.R. 1.53(f)

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated November 9, 2001, and in accordance with the provisions of 37 C.F.R. 1.53(f), Applicants submit herewith a Rule 63 Declaration. The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

PIPER RUDNICK LLP

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